

Anticipated Classification
of this Application:
Class _____ Subclass _____

Prior Application:
Examiner E. Bernhardt
Group Art Unit 1624

Attorney's
Docket
No. 61071-AZ/
JPW/GJG/ACK

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

December 5, 2003

22390 U.S. PTO
10/728580
120503

S I R:

This is a request for filing a _____ CONTINUATION

☒ DIVISIONAL _____ CONTINUATION-IN-PART application under

☒ 37 C.F.R. §1.53(b) _____ 37 C.F.R. §1.53(d), of pending prior application

Serial No. 09/765,515 filed on January 19, 2001 of

Samuel J. Danishefsky and Bishan Zhou for
Inventor(s)

COMPOUNDS OF THE SAFRAMYCIN-ECTEINASCIDIN SERIES, USES, AND SYNTHESIS THEREOF

Title of Invention

1. ☒ Enclosed is a copy of the prior application, as originally filed and an affidavit or declaration verifying it as a true copy.
2. _____ A verified statement to establish small entity status under 37 C.F.R. §1.9 and 1.27
_____ is enclosed.
_____ was filed in the prior application and such status is still proper and desired (37 C.F.R. §1.28(a)).
3. ☒ The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	42-20	=	22	X	9	18	= \$ 0	\$ 396
Independent Claims	3-3	=	0	X	43	86	= \$ 0	\$ 0
Multiple Dependent Claims Presented: _____ Yes <input checked="" type="checkbox"/> No					145	290	= \$ 0	\$ 0
					BASIC FEE		\$ 385	\$ 770
					TOTAL FEE		\$	\$ 1166

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

¹ Filing an application pursuant to this section expressly abandons the parent application.

Cont.Div.
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4. X The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. 03-3125.
- X Any additional filing fees required under 37 C.F.R. §1.16.
- X Any patent application processing fees under 37 C.F.R. §1.17.
- The issue fees set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
5. X Three copies of this sheet are enclosed.
6. X A check in the amount of \$ 770.00 ^{and \$396.00} is enclosed.
7. X Cancel claims 18-32, 50-83 and 92-120.
8. Amend the specification by inserting before the first line the sentence: --This is a continuation division of application Serial No. , filed .--
9. X 22 Sheet(s) of informal X formal drawing(s) is/are enclosed.
10. Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
11. Priority of application No. filed on in (country) is claimed under 37 U.S.C. §119.
- The certified copy of the priority application has been filed in prior application Serial No. , filed .
12. X The prior application is assigned of record to The Trustees of Columbia University in the City of New York.
13. X A preliminary amendment is enclosed, including an Information Disclosure Statement
14. X The power of attorney in the prior application is to:

John P. White (Reg. No. 28,678); Christopher C. Dunham (Reg. No. 22,031); Norman H. Zivin (Reg. No. 25,385); Jay H. Maioli (Reg. No. 27,213); William E. Pelton (Reg. No. 25,702); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Wendy E. Miller (Reg. No. 35,615); Richard S. Milner (Reg. No. 33,970); Robert T. Maldonado (Reg. No. 38,232); Paul Teng (Reg. No. 40,837); Richard F. Jaworski (Reg. No. 33,515); Alan J. Morrison (Reg. No. 37,399); Mark A. Farley (Reg. No. 33,170); Pedro C. Fernandez (Reg. No. 41,741); Gary J. Gershik (Reg. No. 39,992); and Christine S. Nickles (Reg. No. 51,103)

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- (a) X The power appears in the original papers in the prior application, a copy is enclosed.
- (b) Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- (c) X Address all future communications to:
(May only be completed by applicant,
or attorney or agent of record.)

John P. White

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

15. X Also enclosed Express Mail Certificate of Mailing No.

EV 325 703 312 US, dated December 5, 2003


16. I hereby verify that the attached papers are a true copy of prior application Serial No. as originally filed on .

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

December 5, 2003

Date

Signature


John P. White, Reg. No. 28,678
Gary J. Gershik, Reg. No. 39,992

 INVENTOR(S)

 ASSIGNEE OF COMPLETE INTEREST

 X ATTORNEY OR AGENT OF RECORD

 FILED UNDER 37 C.F.R. §1.34(a)

Address of Signator:

John P. White
Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Samuel J. Danishefsky et al.

Serial No.: Not Yet Known (a divisional of U.S. Serial No.
09/765,515 filed January 19,
2001)

Filed : Herewith

Title : COMPOUNDS OF THE SAFRAMYCIN-ECTEINASCIDIN SERIES,
USES, AND SYNTHESIS THEREOF

1185 Avenue of the Americas
New York, New York 10036
December 5, 2003

Mail Stop Patent Application
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

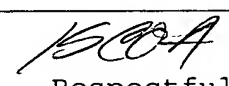
EXPRESS MAIL CERTIFICATE OF MAILING
FOR ABOVE-IDENTIFIED APPLICATION

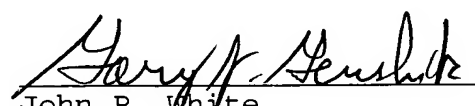
"Express Mail" mailing label number: EV 325 703 312 US

Date of Deposit: December 5, 2003

I hereby certify that this paper or fee is being deposited to the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

Printed Name:  

 Respectfully submitted,


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